



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 09/765,229
Applicants: Koujirou SEKINE et al
For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL
INTEGRATED DEVICE
Confirmation No.: 7378
Customer No.: 24367
Docket No.: 15162/03060
Filed: January 18, 2001
Group Art Unit: 2874
Examiner: Michael J. Stahl

MAIL STOP AF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in
an envelope addressed to: **MAIL STOP AF**, Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 15, 2003

Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered
Representative

Signature

September 15, 2003

Date of Signature

AMENDMENT UNDER 37 C.F.R. § 1.116

In response to the Office Action of June 20, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

Please amend the above-identified application as follows:

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RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

ATTORNEY DOCKET No. 15162/03060		APPLICATION No. 09/763,229	
FILING DATE January 18, 2001	CONFIRMATION No. 7378	CUSTOMER NO. 2436	EXAMINER Michael J. Stahl
INVENTOR(S): Koujirou SEKINE et al			
TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE			

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MAIL STOP AF

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTED HERewith FOR THE ABOVE IDENTIFIED
PATENT APPLICATION IS:

- ☒ (A) A response to the Office Action dated: June 20, 2003
- ☐ (B) A Petition for Extension of Time
☐ for 1 month ☐ for 2 months ☐ for 3 months;
A Petition for Extension of Time, having been previously filed,
☐ for 1 month ☐ for 2 months ☐ for 3 months
- ☐ (C) A Notice of Appeal. \$
- ☐ (D) An Appellant's Brief on Appeal. \$
- ☐ (E) Other: \$
- ☐ (F) A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27
☐ Small entity status under 37 CFR § 1.27 has been previously established
☒ The claims fee, if any, has been calculated as shown below

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Signature

September 15, 2003

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	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	30	MINUS	20	10
INDEP.		MINUS		
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY

RATE	ADD'L FEE
x \$9	\$
x \$42	
+ \$140	
TOTAL ADD'L FEE	\$ 0.00

LARGE ENTITY

RATE	ADD'L FEE
x \$18	\$ 180.00
x \$84	
+ \$280	
TOTAL ADD'L FEE	\$ 180.00

OR

- ☒ Please charge \$180.00 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes
☒ the amount of \$180.00 for the claims fee calculated above AND/OR
☐ the amount of \$ for the fee for item(s) ☐ (B) ☐ (C) ☐ (D) ☐ (E) ☐ (F)
- ☒ Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.
- ☒ A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

September 15, 2003

SIDLEY AUSTIN BROWN & WOOD LLP

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Dallas, Texas 75201

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By:

Douglas A. Sorensen
Attorney for Applicants
Registration No. 31,570